

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

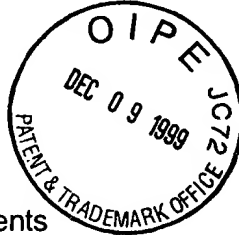
In re Application of: Daniel E. TEDESCO, et al.)

For: BILLING STATEMENT CUSTOMER)
ACQUISITION SYSTEM)

Serial No.: 09/100,684

Filing Date: June 19, 1998

Assistant Commissioner for Patents
Washington, D.C. 20231



Examiner: Not Yet Assigned

Group Art Unit: 2761

Docket No.: WD2-98-019

GP 2761

#5
IH
12-14-99

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Group 2700

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Supplemental Information Disclosure Statement;
2. PTO Form 1449;
3. Copy of twenty-two (22) References Cited Therein; and
2. Return Receipt Postcard

The Assistant Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 50-0271. Order No. WD2-98-019. A duplicate copy of this sheet is attached. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. WD2-98-019. A duplicate copy of this sheet is attached.

Respectfully submitted,

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December 7, 1999

Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via priority mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on December 7, 1999.

Tara D. Gee

Typed Name of Person Making
Deposit

Signature

12/07/99

Date

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed below and on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider these items and to independently ascertain their teaching.

- A. U.S. Patent No. 4,484,733 entitled "PROGRAMMABLE PACKAGING GRID INCLUDING GRIPPER CONVEYOR MONITORING SYSTEM" issued to Loos, et al on November 27, 1984.
- B. U.S. Patent No. 5,060,165 entitled "OPTIMIZING MAIL PROCESSING BY MATCHING PUBLISHER AND PRINTER ENTITIES" issued to Schumacher, et al on October 22, 1991.
- C. U.S. Patent No. 5,367,450 entitled "SYSTEM AND METHOD FOR SELECTING OPTIONAL INSERTS WITH OPTIMAL VALUE IN AN INSERTING MACHINE" issued to Leon A. Pinstov on November 22, 1994.
- D. U.S. Patent No. 5,537,314 entitled "REFERRAL RECOGNITION SYSTEM FOR AN INCENTIVE AWARD PROGRAM" issued to Mark W. Kanter on July 16, 1996.
- E. U.S. Patent No. 5,636,346 entitled "METHOD AND SYSTEM FOR SELECTIVELY TARGETING ADVERTISEMENTS AND PROGRAMMING" issued to Andrew N.G. Saxe on June 3, 1997.
- F. U.S. Patent No. 5,655,089 entitled "METHOD FOR THE CONSOLIDATION SUMMARIZATION AND TRANSMISSION OF A PLURALITY OF MAILABLE MATERIALS" issued to Joseph J. Bucci on August 5, 1997.
- G. International Search Report for International Application No. PCT/US99/11037 mailed 02 September 1999.
- H. Herb Linnen and Jim McGann, "AT&T comments on new FCC rules to curb "slamming"", AT&T News Release, June 14, 1995.
- I. Jim Kerstetter, "E-commerce updates get intelligent agents"; Information Access Company, a Thompson Corporation Company; ASAP; Ziff-Davis Publishing Company, No. 5, Vol. 14; Pg. 6; ISSN:0740-1640.
- J. "Switch your Chase MasterCard ® to a Shell MasterCard ® from Chase and you'll earn: Free FormulaShell ® Gasoline", Chase, Copyright September 1997.
- K. "Cross-Sell Billing Statement Acquisition System", download date April 7-9, 1998.
- L. Chuck Ross and Louise Kramer, "Coke card promotion set for '98, Summer effort to enlist array of retailers for merchandise discounts", Advertising Age, Crain Communications, Inc. Copyright November 1997.
http://adage.com/news_and_features/features/19971117/article3.html
- M. "MCI PrePaid Cards, Business Opportunities", download date April 21, 1998.
<http://www.mci.com/aboutus/products/prepaid/promotional.shtml>.

- N. "MCI Freeflix Free Video Rental Program", download April 21, 1998, Copyright 1998, MCI Telecommunications Corporation.
<http://www.mci.com/aboutus/products/glossary/home/freeflix.shtml>.
- O. "Our Low Commissions and Fees, Active Trader Rebate Program" Wall Street Access...: Active Trader Rebate Program – Signal, BMI, QuoTrek and StockEdg, Download date April 22, 1998.
http://www.wsaccess.com/active_rebate_program.htm
- P. "Microsoft and First USA Announce \$90 Million Advertising Alliance for MSN; First USA to Become Exclusive Credit Card Advertiser Across Most of MSN In the Largest Internet Advertising Deal", PR Newswire Association, Inc., PR Newswire Financial News Section, October 28, 1998,
<http://web.lexis-nexis.com/ltn.universe/s...a3& md5=db04f5c13d2e2621fa22c982fc951098>
- Q. "Competition: First USA, With Its Microsoft Pact, Is King of the Internet", Credit Card News, Faulkner & Gray, a division of Thomson Information Services, Inc. a New York Corporation, November 1, 1998,
<http://web.lexis-nexis.com/ltn.universe/s...a3& md5=91ab391b708c83549f6de5100a541a35>
- R. "#1 Online Department Store Joins ClickRewards in Time for the Holidays; Azazz.com to Supply Name-brand Merchandise for Netcentives' ClickRewards Catalog and ClickRewards; Members Can Now Earn Generous ClickMiles Promotions Within the Azazz.com Internet Department Store", PR Newswire Association, Inc., PR Newswire, November 27, 1998, <http://web.lexis-nexis.com/ltn.universe/s...a3& md5=9feeb8c53a31851ab13321cd728fc293>
- S. "Largest Internet ad deal signed", Lafferty Publications Limited, Bank Marketing International, Page 7, December 2, 1998,
<http://web.lexis-nexis.com/ltn.universe/s...a3& md5=7f8b731f027f505123d7e6b48e5d7eed>
- T. "At Sports Superstore Online, Shoppers Get More For Their Money; 10,000 Reasons to Shop at Sports Superstore Online, Business Wire, Inc., December 4, 1998,
<http://web.lexis-nexis.com/ltn.universe/s...a3& md5=d52757b144e8190c25cb9d35bcdb1692>

- U. Miriam Kreinin Souccar, "Epidemic of Rate Shopping Spurs a Search for Remedies", The American Banker Inc., January 7, 1999 <http://web.lexis-nexis.com/ltn.universe/s...a3&md5=2d3eab8386c438f589062c3d5a7847aa>
- V. "IAFC Launches NextCard (sm) – The First True Internet VISA, NextCard breaks new ground in electronic commerce by delivering instant, online VISA credit card approvals", downloaded September 14, 1999.
<http://www.nextcard.com/release1.html>

1. ☐ Any copy of the items listed above and on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior ☐ Continuation, ☐ Divisional or ☐ Continuation in part application filed under 37 C.F.R. §§ 1.53 or 1.60, U.S. Serial No. _____, filed _____.
2. ☐ For each of the following items listed above and on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____.
3. ☐ For each of the following items listed above and on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☐ In addition to the citations listed above, applicants respectfully direct the Examiner's attention to the following U.S. patent applications, which are commonly assigned to the assignee of the instant application, and which may be deemed pertinent to the instant application:

<u>Serial No.</u>	<u>Inventors</u>	<u>Filing Date</u>	<u>Group Art Unit</u>	<u>Examiner's Init.</u>
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The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application.

5. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
 - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
 - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
6. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action),

and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below.

7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 11 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 11 below.
9. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
 - ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.
 - ☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 11 below.
10. ☐ I hereby certify:
- ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

11. ☐ Please accept payment of the fees due as indicated below:

- ☐ A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).
- ☐ The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose
- ☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- ☐ The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.

12. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

December 7, 1999
Date

Respectfully submitted,



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